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Page 1 of 2

Application Number	09/977,567	<p>I hereby certify that on <u>December 12, 2001</u> this correspondence is being deposited with the United States Postal Service as first class mail addressed to:</p> <p>Box Missing Parts Assistant Commissioner for Patents Washington D.C. 20231</p> <p><i>Tara L. Preston</i> Tara L. Preston</p>
Filing Date	October 15, 2001	
First Named Inventor	Toshikazu HIROTA	
Art Unit	1743	
Examiner Name	Not Assigned	
Confirmation No.	2012	
Attorney Docket No.	791 167	

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

**COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION**

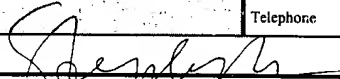
Sir:

1. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed November 21, 2001.
☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.
2. **Declaration or Oath**
☒ No Declaration or Oath was filed. Enclosed is the original Declaration or Oath for this application.
3. **Transmittal of English Translation of Non-English Language Papers**
☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.
4. **Other Papers Enclosed**
☐ A Preliminary Amendment
☒ An Assignment with Assignment Transmittal
☒ An Information Disclosure Statement
☒ Form PTO-1449
☒ Copies of IDS Citations

5. The filing fee has been calculated as shown below:

Basic Filing Fee (37 CFR §1.16(a))				\$	740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	22 - 20 =	2	×	\$ 18.00	\$ 36.00
Indep. Claims	5 - 3 =	2	×	\$ 84.00	\$ 168.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	\$ 280.00
					\$.00

6. Surcharge Fees (37 CFR 1.16(e)) <input checked="" type="checkbox"/> late payment of filing fee and/or <input checked="" type="checkbox"/> late filing of original declaration or oath		\$ 130.00
7. Extension of Time a. <input type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. <p style="text-align: center;">OR</p> b. <input type="checkbox"/> Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below: <input type="checkbox"/> One Month (37 CFR 1.17(a)(1)) \$ 110.00 <input type="checkbox"/> Two Months (37 CFR 1.17(a)(2)) \$ 400.00 <input type="checkbox"/> Three Months (37 CFR 1.17(a)(3)) \$ 920.00		\$
TOTAL OF ABOVE CALCULATIONS =		\$ 1,074.00
8. Small Entity Status <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$.00
SUBTOTAL =		\$.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))		\$.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))		\$ 40.00
TOTAL FEES DUE =		\$ 1,114.00
9. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment <input checked="" type="checkbox"/> A check in the amount of <u>\$1,114.00</u> is enclosed. <input type="checkbox"/> Charge Deposit Account 50-1446 in the amount of \$ _____. Enclosed is a duplicate copy of this sheet. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-1446: a. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.16. b. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.17. c. <input type="checkbox"/> Fees required under 37 CFR 1.18.		

Submitted By:					
Name	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature				Date	12/12/01

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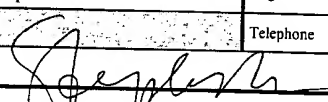
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Name	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
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Signature				Date	12/12/01

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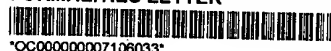
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,567	10/15/2001	Toshikazu Hirota	791_167

25191
BURR & BROWN
PO BOX 7068
SYRACUSE, NY 13261-7068

CONFIRMATION NO. 2012

FORMALITIES LETTER



0000000007106033

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/07/2002 SZEMDIE1 00000029 09977567

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	168.00 OP
04 FC:103	36.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$204.
 - \$36 for 2 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1074.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

NOV 27 2001

